

06/29/01
JC355 U.S. PRO

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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 42390.9765X

(maximum 12 characters)

First Named Inventor Andrew V. Anderson

Title: METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSISTANTS

Express Mail Label No. EL672747213US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D. C. 20231

JC821 U.S. PRO
09/895557
06/29/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. X **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. X **Specification (Total Pages 41)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table,
or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. X **Drawings(s) (35 USC 113) (Total Sheets 4)**
5. X **Oath or Declaration (Total Pages 5)**
 - a. Newly Executed (Original or Copy)
 - b. Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. X Unsigned.
6. **Application Data Sheet. (37 CFR 1.76)**
7. **CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)**
8. **Nucleotide and/or Amino Acid Sequence Submission**
(if applicable, all necessary)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statement verifying identity of above copies
 - d.

ACCOMPANYING APPLICATION PARTS

9. ☐ **Assignment Papers (cover sheet & documents(s))**
10. ☐ a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
- ☐ b. Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ a. Information Disclosure Statement (IDS)/PTO-1449
- ☐ b. Copies of IDS Citations
13. ☐ **Preliminary Amendment**
14. ☐ **Return Receipt Postcard (MPEP 503) (Should be specifically itemized)**
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ **Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.**
17. ☒ Other: Copy of Postcard with Express Mail Certificate of Mailing

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)
 Of Prior Application No.: 09/865,919 Examiner _____ Group Art Unit _____
 (which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. _____,
 which is a ☐ continuation/ ☐ divisional/ ☐ CIP of prior application no. _____) (List entire chain of priority)

Applicant(s): Also include a Preliminary Amendment to amend the specification to claim priority.
 For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that Intel Corporation (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

☐ Customer Number or Bar Code Label _____
 or _____ (Insert Customer No. or Attach Bar Code Label here)

☒ Correspondence Address Below

NAME John P. Ward

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles STATE California ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

Name (PRINT/TYPE): John P. Ward Registration No.: 40,216

Signature: _____ Date: 6/29/01

PRELIMINARY AMENDMENT FOR CONTINUING APPLICATION
(CONTINUATION, DIVISIONAL, OR CONTINUATION-IN-PART)

First Named Inventor Andrew V. Anderson

Title METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSISTANTS

Attorney Docket No. 42390.P9765

Parent Application:

First Named Inventor Andrew V. Anderson

Title METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSISTANTS

Application No. 09/865,919

Examiner: _____

Filing Date May 24, 2001

Group Art Unit: _____

Applicant(s): If the present patent application is a Continuation, Divisional, or Continuation-In-Part application under 37 CFR § 53(b) of a parent application, then submit this Preliminary Amendment to the PTO. Please insert the appropriate claim for priority, listing the entire chain of priority.

To: Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Please enter the following preliminary amendment for the present patent application.

IN THE SPECIFICATION:

At page 1, line _____, please insert the following:

-- The present patent application is a

____ Continuation _____ Divisional X Continuation-in-part (CIP)

of prior application no. 09/865,919, filed May 24, 2001

entitled METHOD AND APPARATUS FOR MESSAGE ESCALATION BY DIGITAL ASSISTANTS

which is a ____ continuation/ ____ divisional/ ____ CIP of prior application no. _____,

which is a ____ continuation/ ____ divisional/ ____ CIP of prior application no. _____.

6/29/01
Date

John P. Ward
Signature

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

John P. Ward

12400 Wilshire Blvd.

Typed or Printed Name

Seventh Floor

Los Angeles, CA 90025-1026

40,216

(408) 720-8300

Registration No.

FEE TRANSMITTAL FOR FY 2001**TOTAL AMOUNT OF PAYMENT (\$)** 1220.00**Complete if Known:****Application No.** Not Yet Assigned**Filing Date** Herewith**First Named Inventor** Andrew V. Anderson**Group Art Unit** Not Yet Assigned**Examiner Name** Not Yet Assigned**Attorney Docket No.** 42390.P9765X**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666**Deposit Account Name** _____☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed: ☒ Check
☐ Credit Card
☐ Money Order
☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------|-----------------|---------------------|-----------------|------------------------------------|-----------------|
| <u>Fee Code</u> | <u>Fee (\$)</u> | <u>Fee Code</u> | <u>Fee (\$)</u> | | |
| 101 | 710 | 201 | 355 | Utility application filing fee | 710.00 |
| 106 | 320 | 206 | 160 | Design application filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional application filing fee | |

SUBTOTAL (1) \$ 710.00**2. EXTRA CLAIM FEES**

| | | | <u>Extra Claims</u> | <u>Fee from below</u> | <u>Fee Paid</u> |
|---------------------------|-----------|----------|---------------------|-----------------------|-----------------|
| Total Claims | <u>35</u> | - 20** = | <u>15</u> | X <u>18.00</u> | = <u>270.00</u> |
| Independent Claims | <u>6</u> | - 3** = | <u>3</u> | X <u>80.00</u> | = <u>240.00</u> |
| Multiple Dependent | | | | | = |

****Or number previously paid, if greater; For Reissues, see below.**

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> |
|---------------------|-----------------|---------------------|-----------------|---|
| <u>Fee Code</u> | <u>Fee (\$)</u> | <u>Fee Code</u> | <u>Fee (\$)</u> | |
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 80 | 202 | 40 | Independent claims in excess of 3 |
| 104 | 270 | 204 | 135 | Multiple dependent claim, if not paid |
| 109 | 80 | 209 | 40 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$ 510.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| <u>Large Entity</u> | | <u>Small Entity</u> | | <u>Fee Description</u> | <u>Fee Paid</u> |
|---------------------|-----------------|---------------------|-----------------|--|-----------------|
| <u>Fee Code</u> | <u>Fee (\$)</u> | <u>Fee Code</u> | <u>Fee (\$)</u> | | |
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | For filing a submission after final rejection (see 37 CFR 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (see 37 CFR 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| 195 | 300 | 195 | 300 | Publication fee for early, voluntary, or normal publication | |
| 196 | 300 | 196 | 300 | Publication fee for republication | |
| 194 | 130 | 194 | 130 | Request for voluntary publication or republication | |
| 098 | 130 | 098 | 130 | Processing fee under 37 CFR 1.17(i) | |
| 091 | 1,240 | 091 | 1,240 | Acceptance of unintentionally delayed claim for priority | |

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3) \$0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: John P. Ward

Signature: _____ Date: 6/29/01

Reg. Number: 40,216 Telephone Number: 408-720-8300

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL672747213US
Date of Deposit: 6/29/01

I hereby certify that I am causing this paper or fee to
be deposited with the United States Postal Service
"Express Mail Post Office to Addressee" service on
the date indicated above and that this paper or fee
has been addressed to the Assistant Commissioner
for Patents, Washington, D. C. 20231

J. Robert
(Typed or printed name of person mailing paper or fee)

J. Robert
(Signature of person mailing paper or fee)

6/29/01
(Date signed)

09895557, 062901

Serial/Patent No. **** File/Case No. ****

Client: Intel Corporation

Title: METHOD AND APPARATUS FOR MESSAGE LOCATION BY DEPT.

ASSISTANTS

BSTZ File No. 42390 P0765 Atty/Secy Initials 12/ADBY/ALP

Date Mailed: June 29, 2001 Docket Date 6/29/01

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

| | |
|--|--|
| <input type="checkbox"/> Amendment/Response (____ pgs.) | <input type="checkbox"/> Extension of Time (____ pgs.) |
| <input type="checkbox"/> Appeal Brief (____ pgs.) (in triplicate) | <input type="checkbox"/> Extension of Time (____ pgs.) |
| <input type="checkbox"/> Application - Utility (____ pgs. with cover sheet) | <input type="checkbox"/> Extension of Time (____ pgs.) |
| <input type="checkbox"/> Application - Rule 13(b) Continued | <input type="checkbox"/> Extension of Time (____ pgs.) |
| <input type="checkbox"/> Application - Rule 13(b) Divisional | <input type="checkbox"/> Extension of Time (____ pgs.) |
| <input type="checkbox"/> Application of Rule 13(b) CIP (____ pgs.) | <input type="checkbox"/> Extension of Time (____ pgs.) |
| <input type="checkbox"/> Application - Rule 13(b) CIP (____ pgs.) | <input type="checkbox"/> Extension of Time (____ pgs.) |
| <input type="checkbox"/> Application - Provisional (____ pgs.) | <input type="checkbox"/> Extension of Time (____ pgs.) |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Extension of Time (____ pgs.) |
| <input checked="" type="checkbox"/> Certificate of Mailing - <u>Express Mail</u> | <input type="checkbox"/> Extension of Time (____ pgs.) |
| <input checked="" type="checkbox"/> Declaration & POA (____ pgs.) - <u>Unsigned</u> | <input type="checkbox"/> Extension of Time (____ pgs.) |
| <input type="checkbox"/> Electronic Data & Copy of Invention Signed and Sealed | <input type="checkbox"/> Extension of Time (____ pgs.) |
| <input checked="" type="checkbox"/> Drawings - <u>2</u> of sheets included <u>As Filed</u> | <input type="checkbox"/> Extension of Time (____ pgs.) |
| <input type="checkbox"/> Other | <input type="checkbox"/> Extension of Time (____ pgs.) |

Stamp: 6/29/01 Fee: \$1220.00